

**INVENTEK**

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MAY 04 2005

|  |                                     |
|--|-------------------------------------|
| <b>Patent Application Ser. No.:</b> 10/684,776 | <b>Ref./Docket No:</b> APPT-001-1-1 |
| <b>Applicant(s):</b> Dietz, et al.             | <b>Examiner:</b> Moustafa M. Meky   |
| <b>Filing Date:</b> October 14, 2003           | <b>Art Unit:</b> 2157               |

**FAX COVER PAGE**

**TO:** Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

United States Patent and Trademark Office  
 (Examiner Moustafa M. Meky, Art Unit 2157)

**Fax No.:** 703-872-9306

**DATE:** May 4, 2005

**FROM:** Dov Rosenfeld, Reg. No. 38687

**RE:** Information Disclosure Statement

*Number of pages including cover: 11.*

**OFFICIAL COMMUNICATION**

**PLEASE URGENTLY DELIVER A COPY OF  
 THIS IDS TO THE EXAMINER OF RECORD  
 FOR THIS APPLICATION MOUSTAFA M.  
 MEKY, ART UNIT 2157**

**Certificate of Facsimile Transmission under 37 CFR 1.8**

I hereby certify that this response is being facsimile transmitted to the United States Patent and Trademark Office at telephone number 703-872-9306 addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Date: May 4, 2005

Signed: Amy Drury  
 Name: Amy Drury

Our Docket/Ref. No.: APPT-001-1-1

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dietz et al.

Serial No.: 10/684,776

Filed: October 14, 2003

Title: METHOD AND APPARATUS FOR  
MONITORING TRAFFIC IN A  
NETWORK

Group Art Unit: 2157

Examiner: Moustafa M. Meky

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL: INFORMATION DISCLOSURE STATEMENT

Dear Commissioner:

Transmitted herewith are:

- An Information Disclosure Statement for the above referenced patent application, together with PTO form 1449.
- A payment for petition fees.
- The commissioner is hereby authorized to charge payment of any missing fee associated with this communication or credit any overpayment to Deposit Account 50-0292.

A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED

Respectfully submitted,

Date: May 4, 2005
  
 Dov Rosenfeld  
 Attorney/Agent for Applicant(s)  
 Reg. No. 38687

Correspondence Address:

 Dov Rosenfeld  
 5507 College Avenue, Suite 2  
 Oakland, CA 94618  
 Telephone No.: 510-547-3378

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MONITORING TRAFFIC IN A  
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Group Art Unit: 2157

Examiner: Moustafa M. Meky

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

Dear Commissioner:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or  
 (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:  
 \_\_\_\_\_ Certification under 37 CFR 1.97(e), or  
 a \$180.00 fee under 37 CFR 1.17(p)  
 (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:  
 \_\_\_\_\_ Certification under 37 CFR 1.97(e), and  
 a petition under 37 CFR 1.97(d)(2)(ii), and  
 a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
 (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

05/05/2005 EKOLII 00000019 10684776

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Date: May 4, 2005Signed: Amy Drury  
Name: Amy Drury

S/N: 10/684,776

Page 2

IDS

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is expressly requested that the cited information be made of record in the application and appear among the "references cited" on any patent to issue therefrom.

The above-identified application is a continuation of prior U.S. Patent Application 09/608,237, filed June 30, 2000. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in this prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Respectfully submitted,

Date: May 4, 2005

  
Dov Rosenfeld  
Attorney/Agent for Applicant(s)  
Reg. No. 38687

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5507 College Avenue, Suite 2  
Oakland, CA 94618  
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Et al FORM - 1449

SHEET 1 OF 5.

|   |    |   | ATTY. DOCKET NO.<br>APPT-001-1-1 | SERIAL NO.<br>10/684,776 |       |           |                            |
|---|----|---|----------------------------------|--------------------------|-------|-----------|----------------------------|
|   |    |   | APPLICANT<br>Dietz et al.        |                          |       |           |                            |
|   |    |   | FILING DATE<br>14 Oct 2003       | GROUP<br>2157            |       |           |                            |
| U.S. PATENT DOCUMENTS   |    |   |                                  |                          |       |           |                            |
| EXAMINER INITIAL  |    | DOCUMENT NUMBER   | DATE                             | NAME                     | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|   | AA | 4736320   | Apr. 5, 1988                     | Bristol                  | 364   | 300       | Oct. 8, 1985               |
|   | AB | 4891639   | Jan. 2, 1990                     | Nakamura                 | 340   | 825.500   | Jun. 23, 1988              |
|   | AC | 5101402   | Mar. 31, 1992                    | Chui et al.              | 370   | 17        | May 24, 1988               |
|   | AD | 5247517   | Sep. 21, 1993                    | Ross et al.              | 370   | 85.5      | Sep. 2, 1992               |
|   | AE | 5247693   | Sep. 21, 1993                    | Bristol                  | 395   | 800       | Nov. 17, 1992              |
|   | AF | 5315580   | May 24, 1994                     | Phaal                    | 370   | 13        | Aug. 26, 1991              |
|   | AG | 5339268   | Aug. 16, 1994                    | Machida                  | 365   | 49        | Nov. 24, 1992              |
|   | AH | 5351243   | Sep. 27, 1994                    | Kalkunte et. al.         | 370   | 92        | Dec. 27, 1991              |
|   | AI | 5365514   | Nov. 15, 1994                    | Hershey et al.           | 370   | 17        | Mar. 1, 1993               |
|   | AJ | 5375070   | Dec. 20, 1994                    | Hershey et al.           | 364   | 550       | Mar. 1, 1993               |
|   | AK | 5394394   | Feb. 28, 1995                    | Crowther et al.          | 370   | 60        | Jun. 24, 1993              |
| FOREIGN PATENT DOCUMENTS  |    |   |                                  |                          |       |           |                            |
|   |    | DOCUMENT NUMBER   | PUBLICATION DATE                 | COUNTRY                  | CLASS | SUB-CLASS | TRANSLATION YES / NO       |
|   | AL |   |                                  |                          |       |           |                            |
|   | AM |   |                                  |                          |       |           |                            |
| OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)  |    |   |                                  |                          |       |           |                            |
|   | AN | "Technical Note: the Narus System," Downloaded April 29, 1999 from www.narus.com, Narus Corporation, Redwood City California. |                                  |                          |       |           |                            |
|   | AO |   |                                  |                          |       |           |                            |
| EXAMINER  |    |   |                                  | DATE CONSIDERED          |       |           |                            |
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aFORM - 1449

SHEET 2 OF 5.

| <b>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary)  |    |                 | ATTY. DOCKET NO.<br>APPT-001-1-1 |                   | SERIAL NO.<br>10/684,776 |           |                            |
|---|----|-----------------|----------------------------------|-------------------|--------------------------|-----------|----------------------------|
|   |    |                 | APPLICANT<br>Dietz et al.        |                   |                          |           |                            |
|   |    |                 | FILING DATE<br>14 Oct 2003       |                   | GROUP<br>2157            |           |                            |
| <b>U.S. PATENT DOCUMENTS</b>  |    |                 |                                  |                   |                          |           |                            |
| EXAMINER INITIAL  |    | DOCUMENT NUMBER | DATE                             | NAME              | CLASS                    | SUB-CLASS | FILING DATE IF APPROPRIATE |
|   | BA | 5414650         | May 9, 1995                      | Hekhuis           | 364                      | 715.02    | Mar. 24, 1993              |
|   | BB | 5430709         | Jul. 4, 1995                     | Galloway          | 370                      | 13        | Jun. 17, 1992              |
|   | BC | 5432776         | Jul. 11, 1995                    | Harper            | 370                      | 17        | Sep. 30, 1993              |
|   | BD | 5493689         | Feb. 20, 1996                    | Waclawsky et al.  | 395                      | 821       | Mar. 1, 1993               |
|   | BE | 5500855         | Mar. 19, 1996                    | Hershey et al.    | 370                      | 17        | Jan. 26, 1994              |
|   | BF | 5568471         | Oct. 22, 1996                    | Hershey et al.    | 370                      | 17        | Sep. 6, 1995               |
|   | BG | 5574875         | Nov. 12, 1996                    | Stansfield et al. | 395                      | 403       | Mar. 12, 1993              |
|   | BH | 5586266         | Dec. 17, 1996                    | Hershey et al.    | 395                      | 200.11    | Oct. 15, 1993              |
|   | BI | 5606668         | Feb. 25, 1997                    | Shwed             | 395                      | 200.11    | Dec. 15, 1993              |
|   | BJ | 5608662         | Mar. 4, 1997                     | Large et al.      | 364                      | 724.01    | Jan. 12, 1995              |
|   | BK | 5634009         | May 27, 1997                     | Iddon et al.      | 395                      | 200.11    | Oct. 27, 1995              |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |                 |                                  |                   |                          |           |                            |
|   |    | DOCUMENT NUMBER | PUBLICATION DATE                 | COUNTRY           | CLASS                    | SUB-CLASS | TRANSLATION YES / NO       |
|   | BL |                 |                                  |                   |                          |           |                            |
|   | BM |                 |                                  |                   |                          |           |                            |
| <b>OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)</b>   |    |                 |                                  |                   |                          |           |                            |
|   | BN |                 |                                  |                   |                          |           |                            |
|   | BO |                 |                                  |                   |                          |           |                            |
| EXAMINER  |    |                 |                                  | DATE CONSIDERED   |                          |           |                            |
| <p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.</p> |    |                 |                                  |                   |                          |           |                            |

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SHEET 3 OF 5.

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| <b>U.S. PATENT DOCUMENTS</b>  |    |                 |                                  |                     |                          |           |                            |
| EXAMINER INITIAL  |    | DOCUMENT NUMBER | DATE                             | NAME                | CLASS                    | SUB-CLASS | FILING DATE IF APPROPRIATE |
|   | CA | 5651002         | Jul. 22, 1997                    | Van Seters et all.  | 370                      | 392       | Jul. 12, 1995              |
|   | CB | 5684954         | Nov. 4, 1997                     | Kaiserswerth et al. | 395                      | 200.2     | Mar. 20, 1993              |
|   | CC | 5732213         | Mar. 24, 1998                    | Gessel et al.       | 395                      | 200.11    | Mar. 22, 1996              |
|   | CD | 5740355         | Apr. 14, 1998                    | Watanabe et al.     | 395                      | 183.21    | Jun. 4, 1996               |
|   | CE | 5761424         | Jun. 2, 1998                     | Adams et al.        | 395                      | 200.47    | Dec. 29, 1995              |
|   | CF | 5764638         | Jun. 9, 1998                     | Ketchum             | 370                      | 401       | Sep. 14, 1995              |
|   | CG | 5781735         | Jul. 14, 1998                    | Southard            | 395                      | 200.54    | Sep. 4, 1997               |
|   | CH | 5784298         | Jul. 21, 1998                    | Hershey et al.      | 364                      | 557       | Jul. 11, 1996              |
|   | CI | 5787253         | Jul. 28, 1998                    | McCreery et al.     | 395                      | 200.61    | May 28, 1996               |
|   | CJ | 5805808         | Sep. 8, 1998                     | Hansani et al.      | 395                      | 200.2     | Apr. 9, 1997               |
|   | CK | 5812529         | Sep. 22, 1998                    | Czernik et al.      | 370                      | 245       | Nov. 12, 1996              |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |                 |                                  |                     |                          |           |                            |
|   |    | DOCUMENT NUMBER | PUBLICATION DATE                 | COUNTRY             | CLASS                    | SUB-CLASS | TRANSLATION YES / NO       |
|   | CL |                 |                                  |                     |                          |           |                            |
|   | CM |                 |                                  |                     |                          |           |                            |
| <b>OTHER DISCLOSURES</b> (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)   |    |                 |                                  |                     |                          |           |                            |
|   | CN |                 |                                  |                     |                          |           |                            |
|   | CO |                 |                                  |                     |                          |           |                            |
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SHEET 4 OF 5.

|  |  |                                  |                          |
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|  |  | FILING DATE<br>14 Oct 2003       | GROUP<br>2157            |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | DOCUMENT NUMBER | DATE          | NAME                 | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|---------------|----------------------|-------|-----------|----------------------------|
|                  | DA | 5819028         | Oct. 6, 1998  | Manghirmalani et al. | 395   | 185.1     | Apr. 16, 1997              |
|                  | DB | 5825774         | Oct. 20, 1998 | Ready et al.         | 370   | 401       | Jul. 12, 1995              |
|                  | DC | 5835726         | Nov. 10, 1998 | Shwed et al.         | 395   | 200.59    | Jun. 17, 1996              |
|                  | DD | 5838919         | Nov. 17, 1998 | Schwallier et al.    | 395   | 200.54    | Sep. 10, 1996              |
|                  | DE | 5841895         | Nov. 24, 1998 | Huffman              | 382   | 155       | Oct. 25, 1996              |
|                  | DF | 5850386         | Dec. 15, 1998 | Anderson et al.      | 370   | 241       | Nov. 1, 1996               |
|                  | DG | 5,703,877       | Dec. 30, 1997 | Nuber et al.         | 370   | 395       | Nov. 22, 1995              |
|                  | DH | 5862335         | Jan. 19, 1999 | Welch, Jr. et al.    | 395   | 200.54    | Apr. 1, 1993               |
|                  | DI | 5878420         | Mar. 2, 1999  | de la Salle          | 707   | 10        | Oct. 29, 1997              |
|                  | DJ | 5893155         | Apr. 6, 1999  | Cheriton             | 711   | 144       | Dec. 3, 1996               |
|                  | DK | 5903754         | May 11, 1999  | Pearson              | 395   | 680       | Nov. 14, 1997              |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | PUBLI-CATION DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES I NO |
|--|----|-----------------|-------------------|---------|-------|-----------|----------------------|
|  | DL |                 |                   |         |       |           |                      |
|  | DM |                 |                   |         |       |           |                      |

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

|          |    |                 |
|----------|----|-----------------|
|          | DN |                 |
|          | DO |                 |
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| EXAMINER INITIAL  |    | DOCUMENT NUMBER | DATE                             | NAME            | CLASS                    | SUB-CLASS | FILING DATE IF APPROPRIATE |
|   | EA | 5414704         | May 9, 1995                      | Spinney         | 370                      | 60        | Apr. 5, 1994               |
|   | EB | 6014380         | Jan 11, 2000                     | Hendel et al.   | 370                      | 392       | Jun. 30, 1997              |
|   | EC | 5511215         | Apr. 23, 1996                    | Terasaka et al. | 395                      | 800       | Oct. 26, 1993              |
|   | ED | 5,249,292       | Sep. 28, 1993                    | Chiappa         | 395                      | 650       | Mar. 10, 1992              |
|   | EE | 5,511,213       | Apr. 23, 1996                    | Correa          | 395                      | 800       | May 8, 1992                |
|   | EF |                 |                                  |                 |                          |           |                            |
|   | EG |                 |                                  |                 |                          |           |                            |
|   | EH |                 |                                  |                 |                          |           |                            |
|   | EI |                 |                                  |                 |                          |           |                            |
|   | EJ |                 |                                  |                 |                          |           |                            |
|   | EK |                 |                                  |                 |                          |           |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |                 |                                  |                 |                          |           |                            |
|   |    | DOCUMENT NUMBER | PUBLICATION DATE                 | COUNTRY         | CLASS                    | SUB-CLASS | TRANSLATION YES   NO       |
|   | EL |                 |                                  |                 |                          |           |                            |
|   | EM |                 |                                  |                 |                          |           |                            |
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|   | EN |                 |                                  |                 |                          |           |                            |
|   | EO |                 |                                  |                 |                          |           |                            |
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